

Product Catalogue – SE Module

Oracle Banking Treasury Management

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ORACLE

Product Catalogue – SE Module

Oracle Financial Services Software Limited

Oracle Park

Off Western Express Highway

Gurgaon (East)

Mumbai, Maharashtra 400 063

India

Worldwide Inquiries:

Phone: +91 22 6718 3000

Fax: +91 22 6718 3001

<https://www.oracle.com/industries/financial-services/index.html>

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1. Product Catalogue - Treasury – SE Instrument

This chapter describes the product of this module in the following sections:

1.1. Product Code – XB01

XB01 - Fixed Rate Bonds - (Treasury Bonds)

1.1.1. Business Scenario

The Fixed Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.1.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.1.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.1.4. Detailed Coverage

Branch Currency

The product XB01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product XB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation

- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

1.1.5. Interest / Charges / Commission & Fees

User can define Interest and Charges.

1.1.6. Special/Other Features

- Fixed rate bonds
- Extendible Bonds
- Retractable Bonds
- Convertible Bonds,

- Reverse Convertible Bonds
- Asset Backed Securities
- Foreign Currency Bonds
- Euro Bonds
- Perpetual bond
- Convertible Bonds
- Foreign Currency Bonds
- Bearer Bonds.

User can define tax and brokerage components for the deals.

1.1.7. Advices Supported

Payment/Confirmation Messages

1.1.8. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.1.9. Additional information (ex. UDF & other Special Maintenance)

In case, Bank wants to capture some other details about the deal or the parties involved, the same could be customized by way of using UDF option available at various screens.

- Branch Parameter
- Messaging Parameter
- Local Holidays
- Security Batch Maintenance
- Market code and price maintenance
- Security instruments
- SK Location Maintenance
- Security, Deal, Portfolio and Combination Products
- Security Product, Deal product and Portfolio product preference classes
- Portfolio definitions
- Tax scheme class
- Combination products

1.2. Product Code – TB01

TB01 – Zero Coupon Bonds - (Treasury Bills)

1.2.1. Business Scenario

Zero Coupon Bonds are issued by corporates, Banks, Municipal or Government authorities in order to raise the funds. These funds don't carry a fixed rate coupon payment instead it is issued at discount and redeemed at face value.

1.2.2. Introduction

A zero-coupon bond (also called a discount bond or deep discount bond) is a bond issued at a price lower than its face value, with the face value repaid at the time of maturity. It does not make periodic interest payments, or have so-called coupons, hence the term zero-coupon bond. Investors earn a return from the compounded interest all paid at maturity plus the difference between the bonds' discounted price and its par (or redemption) value. The following are the important features of the bond:

Face value: A bond's face value or denomination, which is stated on the bond's front. This is usually a round figure.

Redemption date: The date on which the loan is repaid is called the redemption date or the maturity date.

Redemption value: A bond's redemption value or maturity value is the amount that the issuer promises to pay on the redemption date. In most cases, the redemption value is the same as the face value: the bond is redeemed at par.

1.2.3. Synopsis

- Risk-averse investors looking for safety of capital and who prefer a known periodic payment structure (i.e. coupon payments) for a limited time frame would be better off investing in this type of bonds.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder

1.2.4. Detailed Coverage

In Case, Bank wants to capture some other details about the deal to the parties involved, the

Branch Currency

The product XB01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product XB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Revaluation Price code
- Redemption details
- Tax details
- MIS details
- User defined fields(UDF)

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio

- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

1.2.5. Charges / Commission & Fees

User can define Charges.

1.2.6. Special features / conditions, if any

User can define tax and brokerage components for the deals.

1.2.7. Advices / Statements supported

Payment/Confirmation messages.

1.2.8. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.2.9. Additional information (ex. UDF & other Special Maintenance)

In case, Bank wants to capture some other details about the deal or the parties involved, the same could be customized by way of using UDF option available at various screens.

- Branch Parameter
- Messaging Parameter
- Local Holidays
- Security Batch Maintenance
- Market code and price maintenance
- Security instruments
- SK Location Maintenance
- Security, Deal, Portfolio and Combination Products
- Security Product, Deal product and Portfolio product preference classes

- Portfolio definitions
- Tax scheme class
- Combination products

1.3. Product Code – FL01

FL01 – Floating Rate Bonds

1.3.1. Business Scenario

Corporates issue floating Rate Bonds, Banks, Municipal or Government authorities to raise funds. These funds carry a Floating rate coupon payment. These protect investors against a rise in interest rates.

1.3.2. Introduction

The interest rate adjustments are usually made every six months and are tied to a certain money-market index. This is also called as a floater. The following are the important features of the bond:

Face value: A bond's face value or denomination is stated on the front of the bond, usually a round figure.

Redemption date: The date on which the loan will be repaid is called the redemption date or the maturity date.

Coupon rate: The coupon rate or bond rate is the rate at which the bond pays interest on its face value at regular time intervals until the redemption date. The coupon rate vary based on market conditions.

Redemption value: A bond's redemption value or maturity value is the amount that the issuer promises to pay on the redemption date. In most cases, the redemption value is the same as the face value: the bond is redeemed at par.

1.3.3. Synopsis

- Risk-averse investors looking for safety of capital and who prefer a known periodic payment structure (i.e. coupon payments) for a limited time frame would be better off investing in this type of bonds.
- The bonds can be issued at premium, discount or at par.
- Holder of the bonds will get periodic interest.
- On maturity the principal amount will be repaid to the holder

1.3.4. Detailed Coverage

Instrument Details:

- Call or put options
- Redemption type
- Defining Redemption quotation
- Confirmation of corporate actions
- Mentioning the issue and outstanding sizes
- Defining the minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Defining the equated redemptions
- Capturing the security and payment currency
- Defining the issue, face value and the redemption prices
- Defining the issue date, interest start date, trading start date and redemption dates
- Capturing the interest frequency
- Interest accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields

1.3.5. Special features / conditions, if any

- User can define tax and brokerage components for the deals.
- Extendible Bonds
- Asset-Backed Securities
- Foreign Currency Bonds
- Euro Bonds
- Perpetual bond
- Convertible Bonds
- Bearer Bonds
- Inverse floating rate note
- Index-Linked Notes

- Revenue Bonds
- Structured Products

1.3.6. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.3.7. Additional information (ex. UDF & other Special Maintenance)

In case, Bank wants to capture some other details about the deal or the parties involved,

- Branch Parameter
- Batch Maintenance
- Messaging Parameter
- Local Holidays
- Market code and price maintenance
- Security instruments
- SK Location Maintenance
- Security, Deal, Portfolio and Combination Products
- Security Product, Deal product and Portfolio product preference classes
- Portfolio definitions
- Tax scheme class
- Combination products

1.4. Product Code – EQ01

EQ01 – Equity Share

1.4.1. Business Scenario

Purpose: Equity shares are issued by the companies in order to raise the capital. Companies raise funds through IPO, FPO and Rights/warrants issue.

Target audience / Beneficiaries: Investors, Corporate bodies.

Customer segment: Individual Investors, Corporate customers and financial institutions

1.4.2. Introduction

A share or stock is also known as an equity share as well. The equity share basically represents ownership in the company. When a company needs capital or money to operate, it generates the required funds by selling ownership in the company. This means that the company issues equity shares for a price and these shares represent ownership in the company for the one who purchases the shares. These shares are an ownership in the company and give the owner the right to have a share in the profits of the firm.

Features of equity shares are as follows:

- They do not have any preferential right regarding payment of a dividend or the repayment of capital at the company's winding.
- These shares are risk-bearing shares because they are the company's actual owners whenever the company runs into losses they have to bear the losses (Liability is limited to the face value of share).
- Equity shareholders enjoy voting right whenever there is a meeting they will enjoy their voting power, enjoys voting power in electing the board of directors.
- Equity shares are easily transferable.
- The company gives the bonus shares to the equity shareholders at a free cost on account of reserves, undistributed profits and accumulated profit.
- Equity shareholders are given priority whenever a company wants to raise fresh capital.

1.4.3. Summary

- Company issues the shares to the public.
- Subscription and allotment of shares.
- Trading in the secondary market.
- Rights/warrants/Bonus/dividend etc.

1.4.4. Synopsis

The shares can be issued at premium, discount or at par. Share holder will be getting dividend, bonus shares, warrants or rights if any.

1.4.5. Detailed Coverage

Corporate Actions

- Bonus - Stock dividend
- Stock to cash option
- Bonus – Cash dividend
- Rights / Warrants definition
- Rights / Warrants Expiry

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Defining the limit tracking details
- Advice details

1.4.6. Interest / Charges / Commission & Fees

User can define Charges.

1.4.7. Special features / conditions, if any

- User can define tax and brokerage components for the deals
- Advices / Statements are supported

1.4.8. Advices / Statements supported

Payment/Confirmation messages.

1.4.9. Messages

- OBTR supports the following outgoing type of SWIFT Messages:
 - MT 517,MT 518,MT 535,MT 536,MT 537,MT 540,MT 541,MT 542,MT 543,MT 544,MT 545,MT 546,MT 547,MT 549,MT 564,MT 566, and MT 580.
- Also, supports the STP for following incoming SWIFT Messages:
 - MT 515, MT 518, MT 534, MT 535, MT 539, MT 544, MT 545, MT 546, MT 547.

1.4.10. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.4.11. Additional information (ex. UDF & other Special Maintenance)

In case, Bank wants to capture some other details about the deal or the parties involved, the same can be customized by way of using UDF option available at various screens.

- Branch Parameter
- General Ledger Parameter
- Interest & Charges Parameter
- Journal Entry Parameter
- Messaging Parameter
- Local Holidays
- Security Batch Maintenance
- Market code and price maintenance
- Security instruments
- SK Location Maintenance
- Security, Deal, Portfolio and Combination Products
- Security Product, Deal product and Portfolio product preference classes
- Portfolio definitions
- Tax scheme class
- Combination products

1.5. Product Code – LB01

LB01 – FLOATING RATE BONDS

1.5.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.5.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.5.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.5.4. Detailed Coverage

Branch Currency

The product LB01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product LB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes

- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

1.5.5. Interest / Charges / Commission & Fees

User can define Interest and Charges.

1.5.6. Special/Other Features

- Fixed rate bonds
- Extendible Bonds
- Retractable Bonds
- Convertible Bonds,
- Reverse Convertible Bonds
- Asset Backed Securities
- Foreign Currency Bonds
- Euro Bonds
- Perpetual bond
- Convertible Bonds
- Foreign Currency Bonds
- Bearer Bonds.

User can define tax and brokerage components for the deals.

1.5.7. Advices Supported

Payment/Confirmation Messages

1.5.8. Messages

- OBTR supports the following outgoing type of SWIFT Messages:
 - MT 517,MT 518,MT 535,MT 536,MT 537,MT 540,MT 541,MT 542,MT 543,MT 544,MT 545,MT 546,MT 547,MT 549,MT 564,MT 566, and MT 580.
- Also, supports the STP for following incoming SWIFT Messages:
 - MT 515, MT 518, MT 534, MT 535, MT 539, MT 544, MT 545, MT 546, MT 547.

1.5.9. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances

- SK Location Portfolio Balances
- SKL Security Movements.

1.5.10. Additional information (ex. UDF & other Special Maintenance)

In case, Bank wants to capture some other details about the deal or the parties involved, the same could be customized by way of using UDF option available at various screens.

- Branch Parameter
- General Ledger Parameter
- Interest & Charges Parameter
- Journal Entry Parameter
- Messaging Parameter
- Local Holidays
- Security Batch Maintenance
- Market code and price maintenance
- Security instruments
- SK Location Maintenance
- Security, Deal, Portfolio and Combination Products
- Security Product, Deal product and Portfolio product preference classes
- Portfolio definitions
- Tax scheme class
- Combination products

1.6. Product Code – FBN1

FBN1– FLOATING NEGATIVE RATE BONDS

1.6.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.6.2. Introduction

The floating income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.6.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.6.4. Detailed Coverage

Branch Currency

The product FBN1 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product LB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions

- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details

- Advice details

1.6.5. Events Covered

Product FBN1 has the Life Cycle of Commercial Paper as listed below:

Portfolio Level

Events Covered	Terminology
AMND	Amendment of Securities Deal
BOOK	Booking of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
CUCF	Counterparty un-confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities Deal
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	Sett Messages
ACRD	Accrual of Sec. Discount Earned
ACRP	Accrual of Sec. Premium Paid
ACRR	Accrual of Redemption Premium Earnd.
BRVL	Securities Revaluation of Positions
CASB	Booking of Contingent Asset
CASR	Reversal of Contingent Asset
CBPF	Con. Booking for Forward Purchases
CBPS	Con. Booking for Spot Purchases

CBSF	Con. Booking for Fwd Sales
CBSS	Con. Booking for Spot Sales
CLIB	Booking of Contingent Liability
CLIR	Reversal of Contingent Liability
CRPF	Contingent Reversal (Purchase-Fwd)
CRPS	Contingent Reversal (Purchase-Spot)
CRSF	Contingent Reversal (Sale-Fwd)
CRSS	Contingent Reversal (Sale-Spot)
DVCD	Securities Dividend Collection
DVIN	Securities Dividend Initiations
DVLQ	Securities Dividend Liquidation
EOTR	Securities End of Trading
FACR	Sec. Forward Deal P&L Accrual
MFAC	Sec. Forward Deal Memo P&L Accrual
PTOS	Primary to Secondary Conversion
RACD	Reversal of Sec. Discount Accrual
RACP	Reversal of Sec. Premium Accrual
RACR	Reversal of Sec. Red. Prem Accrual
RASB	Reversal of Cont. Asset Booked
RASR	Reversal of Cont. Asset Reversal
RBPF	Reversal of Con.Book Fwd Pur
RBPS	Reversal of Con.Book Spot Pur
RBSF	Reversal of Con.Book Fwd. Sales
RBSS	Reversal of Con.Book Spot Sales
RELL	Exercise of Rights for New Equity
RENL	Rights Security Exercise Processing
RFAC	Reversal of Forward P&L Accrual
RGLL	Rights Security Tear-Off Processing
RGOL	Rights Detachment from Parent Sec.
RLIB	Reversal of Cont. Liability Booked
RLIR	Reversal of Cont. Liability Reversed

RPLP	Rev of Sec Purchase from Long Posn
RPSP	Rev of Sec Purchase from Short Posn
RRVL	Securities Reversal of Revaluation
RSLP	Rev of Sec Sale from Long Posn
RSSP	Rev of Sec Sale from Short Posn
RXLL	Expiry of Un-Exercised Rights
SCCD	Securities Stock To Cash Collection
SCIN	Securities Stock To Cash Initiation
SCLQ	Securities Stock To Cash LIQD
SPLP	Sec. Purchase from Long Position
SPSP	Sec. Purchase from Short Position
SSKC	Safe Keeping/Custodial Charges
SSLP	Sec. Sale from Long Position
SSSP	Sec. Sale from Short Position
SSTH	Sec. Holdings Statement Generation
SSTP	Sec. Txns Statement Generation
STCD	Bonus to Cash Conversion Collection
STIN	Bonus to Cash Conversion Initiation
STLQ	Bonus to Cash Conversion Liquidation
WELL	Warrants Sec. Exercise Processing
WENL	Exercise of Warrants for New Equity
WRLL	Warrants Sec. Tear-Off Processing
WROL	Warrant Detachment from Parent Sec
WXLL	Expiry of Un-Exercised Warrants

1.6.6. Interest / Charges / Commission & Fees

User can define Charges.

1.6.7. Special features / conditions, if any

- User can define tax and brokerage components for the deals

- Advices / Statements are supported

1.6.8. Advices / Statements supported

Payment/Confirmation messages.

1.6.9. Messages

- OBTR supports the following outgoing type of SWIFT Messages:
 - MT 517,MT 518,MT 535,MT 536,MT 537,MT 540,MT 541,MT 542,MT 543,MT 544,MT 545,MT 546,MT 547,MT 549,MT 564,MT 566, and MT 580.
- Also, supports the STP for following incoming SWIFT Messages:
 - MT 515, MT 518, MT 534, MT 535, MT 539, MT 544, MT 545, MT 546, MT 547.

1.6.10. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.7. Product Code – CUS1

CUS1– CUSTOMER PORTFOLIO

1.7.1. Business Scenario

The fixed Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.7.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.7.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.7.4. Detailed Coverage

Branch Currency

The product CUS1 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product CUS1 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes

- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

1.7.5. Interest / Charges / Commission & Fees

User can define Charges.

1.7.6. Special features / conditions, if any

- User can define tax and brokerage components for the deals
- Advices / Statements are supported

1.7.7. Advices / Statements supported

Payment/Confirmation messages.

1.7.8. Reports Availability

- Portfolio Balances
- Portfolio Security Movements

- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.8. Product Code – HTM1

HTM1– BANK PORTFOLIO

1.8.1. Business Scenario

The fixed Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.8.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.8.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.8.4. Detailed Coverage

Branch Currency

The product HTM1 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio

- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

Product HTM1 has the following events:

Portfolio Level

Events Covered	Terminology
AMND	Amendment of Securities Deal
BOOK	Booking of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
CUCF	Counterparty un-confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities Deal
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	Sett Messages
ACRD	Accrual of Sec. Discount Earned
ACRP	Accrual of Sec. Premium Paid
ACRR	Accrual of Redemption Premium Earnd.
BRVL	Securities Revaluation of Positions

CASB	Booking of Contingent Asset
CASR	Reversal of Contingent Asset
CBPF	Con. Booking for Forward Purchases
CBPS	Con. Booking for Spot Purchases
CBSF	Con. Booking for Fwd Sales
CBSS	Con. Booking for Spot Sales
CLIB	Booking of Contingent Liability
CLIR	Reversal of Contingent Liability
CRPF	Contingent Reversal (Purchase-Fwd)
CRPS	Contingent Reversal (Purchase-Spot)
CRSF	Contingent Reversal (Sale-Fwd)
CRSS	Contingent Reversal (Sale-Spot)
DVCD	Securities Dividend Collection
DVIN	Securities Dividend Initiations
DVLQ	Securities Dividend Liquidation
EOTR	Securities End of Trading
FACR	Sec. Forward Deal P&L Accrual
MFAC	Sec. Forward Deal Memo P&L Accrual
PTOS	Primary to Secondary Conversion
RACD	Reversal of Sec. Discount Accrual
RACP	Reversal of Sec. Premium Accrual
RACR	Reversal of Sec. Red. Prem Accrual
RASB	Reversal of Cont. Asset Booked
RASR	Reversal of Cont. Asset Reversal
RBPF	Reversal of Con.Book Fwd Pur
RBPS	Reversal of Con.Book Spot Pur
RBSF	Reversal of Con.Book Fwd. Sales
RBSS	Reversal of Con.Book Spot Sales
RELL	Exercise of Rights for New Equity
RENL	Rights Security Exercise Processing
RFAC	Reversal of Forward P&L Accrual

RGLL	Rights Security Tear-Off Processing
RGOL	Rights Detachment from Parent Sec.
RLIB	Reversal of Cont. Liability Booked
RLIR	Reversal of Cont. Liability Reversed
RPLP	Rev of Sec Purchase from Long Posn
RPSP	Rev of Sec Purchase from Short Posn
RRVL	Securities Reversal of Revaluation
RSLP	Rev of Sec Sale from Long Posn
RSSP	Rev of Sec Sale from Short Posn
RXLL	Expiry of Un-Exercised Rights
SCCD	Securities Stock To Cash Collection
SCIN	Securities Stock To Cash Initiation
SCLQ	Securities Stock To Cash LIQD
SPLP	Sec. Purchase from Long Position
SPSP	Sec. Purchase from Short Position
SSKC	Safe Keeping/Custodial Charges
SSLP	Sec. Sale from Long Position
SSSP	Sec. Sale from Short Position
SSTH	Sec. Holdings Statement Generation
SSTP	Sec. Txns Statement Generation
STCD	Bonus to Cash Conversion Collection
STIN	Bonus to Cash Conversion Initiation
STLQ	Bonus to Cash Conversion Liquidation
WELL	Warrants Sec. Exercise Processing
WENL	Exercise of Warrants for New Equity
WRLL	Warrants Sec. Tear-Off Processing
WROL	Warrant Detachment from Parent Sec
WXLL	Expiry of Un-Exercised Warrants

1.8.5. Charges / Commission & Fees

User can define Charges.

1.8.6. Special features / conditions, if any

- User can define tax and brokerage components for the deals
- Advices / Statements are supported

1.8.7. Advices / Statements supported

Payment/Confirmation messages.

1.8.8. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.9. Product Code – BB01

BB01– BANK BUY

1.9.1. Business Scenario

The Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.9.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.9.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.9.4. Detailed Coverage

Branch Currency

The product BB01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product BB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes

- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

1.9.5. Events

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal
AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions

REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES

1.9.6. Interest / Charges / Commission & Fees

User can define Charges.

1.9.7. Special features / conditions, if any

- User can define tax and brokerage components for the deals
- Advices / Statements are supported

1.9.8. Advices / Statements supported

Payment/Confirmation messages.

1.9.9. Messages

- OBTR supports the following outgoing type of SWIFT Messages:
 - MT 517,MT 518,MT 535,MT 536,MT 537,MT 540,MT 541,MT 542,MT 543,MT 544,MT 545,MT 546,MT 547,MT 549,MT 564,MT 566, and MT 580.
- Also, supports the STP for following incoming SWIFT Messages:
 - MT 515, MT 518, MT 534, MT 535, MT 539, MT 544, MT 545, MT 546, MT 547.

1.9.10. Reports Availability

- Portfolio Balances
- Portfolio Security Movements
- SK Location Balances
- SK Location Portfolio Balances
- SKL Security Movements.

1.10. Product Code – BS01

BS01– BANK SELL

1.10.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.10.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.10.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.10.4. Detailed Coverage

Branch Currency

The product BS01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product BS01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes

- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

Product BS01 has the following events:

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal
AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES

1.11. Product Code – CB01

CB01– Customer Buy

1.11.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.11.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.11.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.11.4. Detailed Coverage

Branch Currency

The product CB01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product CB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions

- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details

- Advice details

Product CB01 has the Life Cycle of Commercial Paper as listed below:

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal
AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES

1.12. Product Code – CS01

CS01– Customer SELL

1.12.1. Business Scenario

The Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.12.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.12.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.

- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.12.4. Detailed Coverage

Branch Currency

The product CS01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product CS01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

Product CS01 has the Life Cycle of Commercial Paper as listed below:

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal
AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal

REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES

1.13. Product Code – SL01

SL01– Standalone Lodge

1.13.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.13.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.13.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.13.4. Detailed Coverage

Branch Currency

The product SL01 can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product SL01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions
- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio

- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

Product SL01 has the following events:

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal
AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES

1.14. Product Code

BLOC– Block Securities

1.14.1. Business Scenario

The Floating Rate Bonds issued by corporate, Banks, Municipal or Government authorities in order to raise the funds are handled by this product.

1.14.2. Introduction

The fixed income bonds are dealt by using the above product. The interest income (coupons) periodicity can be determined during the security definition (instrument) under this product.

1.14.3. Synopsis

- The regular coupon payments till the bond mature.
- The bonds can be issued at premium, discount or at par.
- On maturity the principal amount will be repaid to the holder.
- Individual Investors, Corporate customers and financial institutions can use this product for buying and selling of securities.

1.14.4. Detailed Coverage

Branch Currency

The product BLOC can be used by all branches and offered in all the currencies. The restrictions are not imposed from Head office.

Customer

The restrictions are not put by the Head office to make use of this product.

All the customers are allowed to avail this product/ service.

Preferences

The product LB01 is having the following important features/properties.

- Call or Put options
- Redemption type
- Redemption quotation
- Confirmation of corporate actions
- Issue and outstanding sizes
- Minimum lot and trading sizes
- Price quotation
- Interest quotation
- Allowing or disallowing the fractional quantity
- Equated redemptions

- Security and payment currency
- Issue, face value and the redemption prices
- Issue date, interest start date, trading start date and the redemption dates
- Interest (coupon) frequency
- Interest (coupon) accrual and liquidation details
- Revaluation Price code
- Redemption details
- Interest rate details
- Tax details
- MIS details
- User defined fields(UDF)

Interest

The interest component is created for the product. The component is created with the name INT_GS.

MIS

The MIS details are not captured in this product.

Fields

There are no UDF details available for this product

Portfolio details

- Issuer, customer and bank portfolios could be defined.
- WAC, LIFO, FIFO, DM costing methods can have for each type of portfolio
- Capturing Revaluation details for the portfolio
- Capturing customer, currency or branch level restrictions
- Capturing the discount/premium accrual details
- Capturing the forward profit and loss accrual details
- Defining the interest accrual details – different frequencies and types such as constant yield, exponential and straight line.
- Defining the limit tracking details
- Advice details

Product BLOC has the Life Cycle of Commercial Paper as listed below:

Deal Level

Events Covered	Terminology
BOOK	Booking of Securities Deal

AMND	Amendment of Securities Deal
CANC	Cancellation of Securities Deal
CCON	Counterparty confirmation
DACF	Deliver versus Payment Confirmation
DFCF	Deliver Free Confirmation
DSTL	Settlement of Securities Deal
EXTN	Extension of Deal Settlement Date
MSTL	Money Settlement of Securities
RACF	Receive Versus Payment Confirmation
RBLK	Release Block of Security Positions
REAS	Reassignment of Securities Deal
REVR	Reversal of Securities Deal
RFCF	Receive Free Confirmation
SGEN	SETT MESSAGES